

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
SDAC-P01-072

In Re Application Of: Clapp et al.

Serial No.  
09/834,325Filing Date  
April 13, 2001Examiner  
Not Yet AssignedGroup Art Unit  
2853

Title: Modular Video Conferencing System

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Title: Modular Video Conferencing System

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 18-1945 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

**Certificate of Mailing by First Class Mail**


I certify that this document and fee is being deposited on 6/25/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Karen DiRocco

Typed or Printed Name of Person Mailing Correspondence

Dated: June 25, 2001

  
Robert A. Mazzares, Registration No. 42,852  
Patent Group  
Ropes & Gray  
One International Place  
Boston, Massachusetts 02110

cc:

**CERTIFICATE OF MAILING**  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA, 22313-1450, on the date below:

9-15-03

Date

Signature

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Craig S.K Clapp et al.

Assignee: Polycom, Inc.

Serial No.: 09/834,325

Filed: 4/13/01

For: Modular Video Conferencing System

§ Group Art Unit: 2643  
§  
§ Examiner: George Eng  
§  
§ Examiner phone: 703-308-9555  
§  
§ Atty. Dkt. No.: 199-0044US  
§  
§  
§  
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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA, 22313-1450.

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

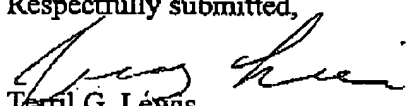
In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or that such information constitutes prior art.

The present Information Disclosure Statement is being filed after receipt of a first office action on the merits. Hence a fee of \$180.00 is believed to be due pursuant to 37 C.F.R. § 1.97(c). The Commissioner is authorized to deduct this and any other necessary fees from Deposit Account No. 501922.

Applicant respectfully requests that the listed documents be considered and made of record in the present case, and that the Examiner initial the appropriate spaces on the Form 1449 to evidence the same.

Respectfully submitted,

  
Terril G. Lewis  
Reg. No. 46,065  
Attorney for Applicant

WONG CABELLO, LLP  
20333 SH 249, Suite 600  
Houston, Texas 77070  
(832) 446-2422

Date:

9-11-03

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>SDAC-P01-07</b>		Application Number <b>09/834,325</b>		
				Applicant(s) <b>Clapp et al.</b>				
				Filing Date <b>April 13, 2001</b>		Group Art Unit <b>2853</b>		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	5,130,891	07/14/92	Christie	361	391	01/02/91	
	AB	5,187,645	02/16/93	Spalding et al.	361	393	06/07/91	
	AC	5,265,238	11/23/93	Canova, Jr. et al.	395	500	01/25/93	
	AD	5,625,829	04/29/97	Gephardt et al.	395	800	07/18/94	
	AE	5,835,732	11/10/98	Kikinis et al.	395	281	10/28/93	
	AF	6,024,582	02/15/00	Wu	439	74	08/12/99	
	AG	5,991,839	11/23/99	Ninomiya	710	101	09/20/96	
	AH	6,029,215	02/22/00	Watts, Jr. et al.	710	101	03/07/97	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

Page 1 of 1

Form PTO-1449 (modified)	Atty. Docket No. 199-0044US	Serial No. 09/834,325
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	Inventor/Applicant Craig S.K Clapp et al. / Polycom	
	Title: Modular Video Conferencing System	
	Filing Date: 4/13/01	Group: 2643
U.S. Patent Documents See Page 1	Foreign Patent Documents N/A	Other Art N/A

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,206,721	4/27/1993	Ashida	358	85	3/8/1990
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

H: 531561(B45L011.DOC)